

12-13-04

15

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Pu Zhou  
Serial No.: 10/615,651  
Filing Date: July 9, 2003  
Docket No.: 1001.1662101  
For: METHOD OF FORMING CATHETER DISTAL TIP



Confirmation No.: 9310  
Examiner: K. Sirmons  
Group Art Unit: 3763  
Customer No.: 28075

**TRANSMITTAL SHEET**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**CERTIFICATE UNDER 37 C.F.R. 1.10:** The undersigned hereby certified that this paper or papers, as described herein are being deposited in the United States Postal Service, "Express Mail Post Office to Addressee" having an Express Mail mailing label number of: EV 314496194 US, in an envelope addressed to: Mail Stop Amendment Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 10th day of December 2004.

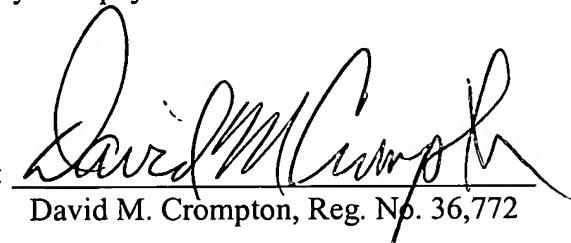
By Kathleen L. Boekley  
Kathleen L. Boekley

We are transmitting herewith the attached:

- Amendment  
 No additional claim fee required  
 The claim fee has been calculated as shown:

CLAIMS AS AMENDED							
	(3)	(4)	(5)	SMALL ENTITY		OTHER	
	REMAINING CLAIMS	HIGHEST PAID	EXTRA	RATE	ADD'L FEE	RATE	ADD'L FEE
TOTAL CLAIMS	-	=		X 25=	\$	X 50=	\$
INDEPENDENT CLAIMS	-	=		X 100=	\$	X 200 =	\$
( ) FIRST MULTIPLE DEPENDENT CLAIM				+ 180 =	\$	+ 360 =	\$
TOTAL				\$		\$	

- A check in the amount of \$\_\_\_\_\_ is enclosed. Itemization:  
Fee Code \_\_\_\_\_ \$  
Fee Code \_\_\_\_\_ \$  
Fee Code \_\_\_\_\_ \$
- Small entity status of this application under 37 C.F.R. §§ 1.9 and 1.27 has been established.
- Other: INFORMATION DISCLOSURE STATEMENT BEFORE MAILING DATE OF EITHER A FINAL ACTION OR NOTICE OF ALLOWANCE (37 C.F.R. § 1.97(c)), CERTIFICATION FOR INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(e)(1)), FORM PTO-1449 AND 3 CITED NON-U.S. PATENT/PUBLICATION REFERENCES.
- Return Receipt Postcard (MPEP 503).
- Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

By:   
David M. Crompton, Reg. No. 36,772

David M. Crompton  
CROMPTON, SEAGER & TUFTE, LLC  
1221 Nicollet Avenue, Suite 800  
Minneapolis, MN 55403-2420  
Telephone: (612) 677-9050  
Facsimile: (612) 359-9349

P A T E N T

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Pu Zhou Confirmation No.: 9310  
Serial No.: 10/615,651 Examiner: K. Sirmons  
Filing Date: July 9, 2003 Group Art Unit: 3763  
Docket No.: 1001.1662101 Customer No.: 28075  
For: METHOD OF FORMING CATHETER DISTAL TIP



Mail Stop Amendment  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT BEFORE MAILING DATE OF  
EITHER A FINAL ACTION OR NOTICE OF ALLOWANCE (37 C.F.R. § 1.97(c))**

**CERTIFICATE UNDER 37 C.F.R. 1.10:** The undersigned hereby certified that this paper or papers, as described herein are being deposited in the United States Postal Service, "Express Mail Post Office to Addressee" having an Express Mail mailing label number of: EV 314496194 US, in an envelope addressed to: Mail Stop Amendment Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 10th day of December 2004.

By Kathleen L. Boekley  
Kathleen L. Boekley

Dear Sir:

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R. § 1.56, the documents listed on the attached Form PTO-1449 are hereby disclosed.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

The information disclosure statement transmitted herewith is being filed after three months of the filing date of this national application or the date of entry of the national stage as set forth in § 1.491 in an international application or after the mailing date of the first office

action on the merits, whichever event occurred last but before the mailing date of either a final action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application, whichever occurs first.

Accompanying this Information Disclosure Statement is a certification under 37 C.F.R. § 1.97(e)(1).

Respectfully submitted,

Pu Zhou

By his Attorney,



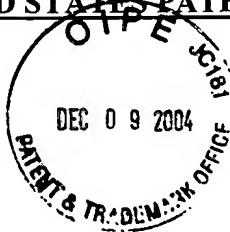
David M. Crompton, Reg. No. 36,772  
CROMPTON, SEAGER & TUFTE, LLC  
1221 Nicollet Avenue, Suite 800  
Minneapolis, MN 55403-2420  
Telephone: (612) 677-9050  
Facsimile: (612) 359-9349

Date: 12/10/04

P A T E N T

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Pu Zhou Confirmation No.: 9310  
Serial No.: 10/615,651 Examiner: K. Sirmons  
Filing Date: July 9, 2003 Group Art Unit: 3763  
Docket No.: 1001.1662101 Customer No.: 28075  
For: METHOD OF FORMING CATHETER DISTAL TIP



Mail Stop Amendment  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

**CERTIFICATION FOR INFORMATION DISCLOSURE STATEMENT  
(37 C.F.R. § 1.97(e)(1))**

**CERTIFICATE UNDER 37 C.F.R. 1.10:** The undersigned hereby certified that this paper or papers, as described herein are being deposited in the United States Postal Service, "Express Mail Post Office to Addressee" having an Express Mail mailing label number of: EV 314496194 US, in an envelope addressed to: Mail Stop Amendment Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 10th day of December 2004.

By Kathleen L. Boekley  
Kathleen L. Boekley

Dear Sir:

This certification is being made for the Information Disclosure Statement accompanying this certification.

**CERTIFICATION**

I, the person signing below, certify that each item of the information contained in the Information Disclosure Statement submitted herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement (37 C.F.R. § 1.97(e)(1)).

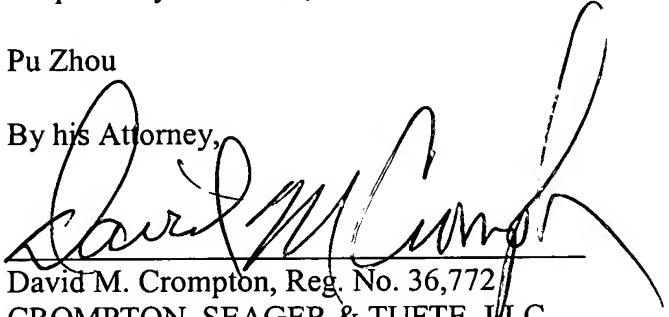
**Further, I state that the aforementioned foreign communication was not received by any individual designated in § 1.56(c) more than thirty (30) days prior to the filing of the Information Disclosure Statement.**

The person making this certification is a person who is the attorney who signs below on the basis of the information in the attorney's file.

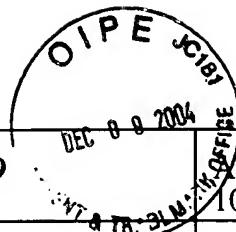
Respectfully submitted,

Pu Zhou

By his Attorney,

  
\_\_\_\_\_  
David M. Crompton, Reg. No. 36,772  
CROMPTON, SEAGER & TUFTE, ILC  
1221 Nicollet Avenue, Suite 800  
Minneapolis, MN 55403-2420  
Telephone: (612) 677-9050  
Facsimile: (612) 359-9349

Date: 12/10/04



<b>FORM PTO-1449</b>		DEC 8 2003 U.S. PATENT & TRADEMARK OFFICE 9:10 AM 9/14/03	Att'y. Docket No.: 1001.1662101	Serial No.: 10/615,651
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT		Applicant: Pu Zhou		
		Confirmation No.: 9310		
		Filing Date: July 9, 2003		Group Art: 3763

## U.S. PATENT DOCUMENTS

## FOREIGN PATENT DOCUMENTS

		Document No.	Date	Country	Translation Yes No
AD		EP 0 841 072 A2	05/13/1998	Europe	
AE		EP 1 123 714 A1	08/16/2001	Europe	
AF		WO 95/13110 A1	05/18/1995	WIPO	

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**


**EXAMINER:**

**DATE CONSIDERED:**

**EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.